

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE: Application of Stacey Ann JONES et al.

Serial No.: To be assigned

Art Unit:

Filing Date: Concurrently herewith

Examiner:

For: *Methods of Using Farnesoid X  
Receptor (FXR) Agonists*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

- ☒ Copies of the references listed on the attached form PTO-1449 as item nos. 2-6 are enclosed
- ☐ Copies of the references were submitted in parent application Serial No. \_\_\_\_\_ (37 CFR 1.98(d))
- ☒ A copy of the International Search Report which issued on International Application No. \_\_\_\_\_ PCT/US03/08634 is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 as item no. 1 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

- A. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

OR

- ☐ The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).

- B. ☐ The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but before the mailing date of either:
- (1) a final action under § 1.113 or
  - (2) a notice of allowance under § 1.311,
- whichever occurs first.

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

- ☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$180.00).

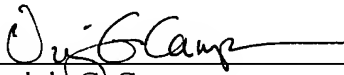
- C. ☐ The Information Disclosure Statement transmitted herewith is being filed after a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.

In accordance with the requirements of 37 CFR 1.97(d):

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. [or]
- ☐ Applicant hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; and
- ☐ The petition fee set forth in § 1.17(i)(1) (\$180.00) is submitted herewith.

- [X] Please charge any required fees to Deposit Account No.07-1392.  
☐ A duplicate copy of this paper is attached.

Respectfully Submitted,

  
\_\_\_\_\_  
Virginia G. Campen  
Attorney of Record:  
Registration No. 37,092

Date: September 9, 2004  
GlaxoSmithKline  
Corporate Intellectual Property  
5 Moore Drive, P.O. Box 13398  
Research Triangle Park, NC 27709-3398  
Telephone: (919) 483-1012  
Facsimile: (919) 483-7988

<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>				<b>ATTORNEY DOCKET NO.</b> PU4692USw		<b>SERIAL NO.</b> To be assigned			
				<b>APPLICANT</b> Stacey Ann JONES et al				10/507082	
				<b>FILING DATE</b> Concurrently herewith		<b>GROUP</b>			
<b>U.S. PATENT DOCUMENTS</b>									
Examiner Initials	1.	Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate		
		5,321,036	06/14/1994	SHER					
Continue on page _____									
<b>FOREIGN PATENT DOCUMENTS</b>									
		Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No		
	2.	WO 00/37077	/2000	WIPO					
	3.	WO 01/18210	/2001	WIPO					
Continue on page _____									
<b>OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)</b>									
	4.	Campfield et al., "Recombinant mouse OB protein: evidence for a peripheral signal linking adiposity and central neural networks," <i>Science</i> 269:546-549 (1995).							
	5.	Halaas et al., "Weight-reducing effects of the plasma protein encoded by the <i>obese</i> gene," <i>Science</i> 269:543-546 (1995).							
	6.	Pellemounter et al., "Effects of the obese gene product on body weight regulation in <i>ob/ob</i> mice," <i>Science</i> 269:540-543 (1995).							
Continue on page _____									
EXAMINER					DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.									